

**DIVISION OF PUBLIC U Motor Carriers Section** 89 Jefferson Blvd. Warwick, R.I. 02888 (401) 941-4500

FAX (401) 941-9161

# **\*\*IMPORTANT NOTICE\*\***

All applications must be accompanied by a photocopy of the driver's license for all principals in the application. That includes all individual applicants and all principals in applicant corporations, Limited Liability Companies (LLC) and Limited Liability Partnerships (LLP).

Please be advised that incomplete applications (including those without all required photocopies of driver's licenses) will be returned to the applicant unprocessed.

## STATE OF RHODE ISLAND DIVISION OF PUBLIC UTILITIES AND CARRIERS MOTOR CARRIERS DIVISION

### 89 JEFFERSON BOULEVARD WARWICK, RHODE ISLAND 02888 Tele: 941-4500 Fax: 941-9161 <u>www.RIPUC.org</u>

Any Applicant seeking authority to operate within Rhode Island as a common carrier must complete and file an application with the Motor Carriers Section of the Division. Applicants seeking authority to operate must submit \$250.00 at the time of filing (check or money orders only; no cash accepted).

Upon receipt of a complete application, the Clerk of the Motor Carriers Division will schedule a public hearing. The Applicant will receive direct notice, by first class mail, of the hearing date. In addition, the time and date of the scheduled hearing will be published in the legal notices section of the Providence Journal. The notice will be published at least ten (10) days before the scheduled hearing.

Hearings will only be continued for good cause and with the approval of the Hearing Officer.

An applicant wishing to withdraw an application must do so, in writing, at least seven (7) days prior to the scheduled hearing, by sending such request to the Clerk of the Motor Carriers Division.

For an application to be approved, the Applicant must prove, at the hearing, that it is fit, willing and able to perform the services for which it is seeking operating authority.

In addition, Applicants seeking authority to operate as a mover of household goods; as a taxicab or limited public motor vehicle; or, as a jitney or water carrier, must prove that public convenience and necessity requires that the Division approve its application. To meet this burden of proof, the Division strongly encourages Applicants to offer witnesses in support of any assertion that public convenience and necessity require that the Division grant an operating certificate to the Applicant.

An Applicant seeking to transfer a certificate of operating authority must also prove that the Transferor has been actively operating under its certificate for the past sixty (60) days. In the case of taxicabs or limited public motor vehicles, this requirement is even more stringent - the Applicant must prove that the Transferor has been actively operating under its certificate for the past six (6) months.

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### If your application for operating authority is approved:

An Order will be issued by the Division directing the Applicant to comply with several terms and conditions before a certificate is issued.

Generally, the Order will give the Applicant sixty (60) days to fulfill these terms and conditions.

At the minimum, they are:

- Register vehicle(s) with the Motor Carriers Division of the DPUC
  \$20.00 fee per vehicle
- File proof of insurance with the Motor Carriers Division of the DPUC
  \$10.00

The Hearing Officer may impose additional requirements which will be set forth in the individual Order which is issued relating to your Application.

### **REQUEST FOR AUTHORITY TO OPERATE** AS COMMON CARRIER OF PROPERTY

APPLICATION # \_\_\_\_\_ Do

DOCKET # \_\_\_\_\_

CHECK/MONEY ORDER # \_\_\_\_\_ ISSUING BANK \_\_\_\_\_

These Common Carriers of Property are governed by R.I. Gen. Laws Chapter 39-12 and the Division's Rules and Regulations Governing Transportation Provided by Motor Carriers of Property. The Applicant must prove that it is <u>fit, willing and able</u> to perform the services for which it has requested a certificate of operating authority.

Please check type of authority/authorities requested:

 Authority to transport dry freight over irregular routes throughout Rhode Island.	

- \_\_\_\_\_ Authority to transport bulk commodities over irregular routes throughout Rhode Island.
- \_\_\_\_\_ Authority to transport boats over irregular routes throughout Rhode Island.
- Authority to transport items requiring expertise in handling over irregular routes throughout Rhode Island. (i.e. Riggers)
- \_\_\_\_\_ Authority to transport hazardous materials over irregular routes throughout Rhode Island.
- \_\_\_\_\_ Authority to transport petroleum products in tank trucks over irregular routes throughout R.I.
  - Authority to transport products other than petroleum products in tank trucks over irregular routes throughout Rhode Island.
- \_\_\_\_\_ Authority for courier services over irregular routes throughout Rhode Island.
- Authority to transport vehicles via drive-away, tow-away method over irregular routes throughout Rhode Island.
  - \_\_\_\_\_ Authority to transport office furniture/goods over irregular routes throughout Rhode Island.

\_\_\_\_\_ Authority to transport property other than categories listed above. (Specify property below)

1. APPLICATION O	F:
	(Name of individual, partnership, corporation or business)
Business address:	
Mailing address:	
Telephone number:	Federal ID Number:
2. Names and addres	ses of all partners, officers and directors:
3. Provide the date an	nd place of birth of the applicant(s), partners, officers and/or directors:
	partners, officers or directors has/have experienced a change of narriage, legal name change, etc., state the details of the name change:
GENERAL FITNESS	QUESTIONS:
Are you a legal citizen of your immigration st	of the United States? If not, please attach documentation atus.

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Have the applicant(s), partners, officers and/or directors ever previously applied for a common carrier certificate from the DPUC? \_\_\_\_\_\_. If yes, what type of certificate was requested and what was the outcome of the application request?

Have the applicant(s), partners, officers and/or directors ever been charged with or convicted of any criminal offense, either state or federal? If yes, explain.

Have the applicant(s), partners, officers and/or directors been charged with a traffic violation within the last six (6) years? If yes, explain.

Describe the motor vehicle(s) to be operated by the applicant(s) in this business:

Describe any experience the applicant possesses in the industry:

FINANCIAL FITNESS QUESTIONS – Answers must be accurate as of the date of filing. (You may choose to attach a financial statement in addition to answering these questions.)

Assets:

Cash on hand:		
Total value of motor vehicle(s) to be operated in this business:		
Total value of other property (buildings, etc.):		
Total value of investments, etc.:		
Total of accounts receivable:		
Liabilities:		
Total of outstanding business loans:		
Total of any other debts or liabilities:		

#### <u>OATH</u>

I (We)\_\_\_\_\_

being duly sworn, state that I am (We are) qualified and authorized to file and verify this application, that I (We) have carefully examined all the statements and answers contained in the application and that all such statements and answers set forth herein are true and correct to the best of my (our) knowledge and belief.

Signature of Applicant(s) before Notary Public

Subscribed and Sworn to before me at \_\_\_\_\_, in the state of

\_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_.

Printed name of Notary Public

Signature of Notary Public

My commission expires: \_\_\_\_\_

### RELEASE AND WAIVER FORM

Print or type <u>name</u>, <u>date of birth</u> and <u>place of birth</u> of applicant (if an individual), or of all office holders (if applicant is a corporation, partnership, etc.)

I (we) are seeking certification as a common carrier in Rhode Island. I (we) hereby direct and authorize the Division of Criminal Identification of the Attorney General's Office for the State of Rhode Island to make available to the Division of Public Utilities and Carriers any information on file in reference to me (us.)

I (we) hereby release the Division of Public Utilities and Carriers, the State of Rhode Island, and the Division of Criminal Identification of the Attorney General's Office for the State of Rhode Island, collectively and individually, from all legal responsibility or liability that may arise from the release of such criminal records, and I (we) hereby waive all rights of action in both law and equity which I may not have or later acquire as the result of the release of such criminal records.

Signature of Applicant(s) before Notary Public

Subscribed and Sworn before me in Rhode Island, this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_.

Printed name of Notary Public

Signature of Notary Public

My commission expires: \_\_\_\_\_

### Social Security Disclosure/Release Form

Provide the Social Security Number of the applicant(s), partners, officers and/or directors:

## SOCIAL SECURITY NUMBER PRIVACY DISCLOSURE STATEMENT

Providing your Social Security Number to the Division is not mandatory. You may legally refuse to comply with this request. Whether you agree to disclose your Social Security Number is entirely a <u>voluntary</u> decision.

The Division is charged with the responsibility of determining whether you are "fit" before it is able to grant you the authority being requested through the instant application. The basis of this requirement is contained in the following Sections of the Rhode Island General Laws: §39-1-1, §39-1-15, §39-1-38, §39-3-2, §39-12-4, §39-12-5, §39-12-7, §39-12-32, §39-13-2, §39-14-2, §39-14-14, § 39-14-12, §39-14-14, §39-14-20, §39-14.1-3 and §39-14.1-8.

The Division has requested that you provide it with your Social Security Number so that it may better evaluate your "fitness" with regard to the regulatory authority you seek. The "fitness" evaluation includes a criminal background and a legal residency investigation. The Division may also employ other means to conduct the fitness evaluation. Providing your Social Security Number to the Division will help expedite the evaluation process.

Your Social Security Number will also be used as a unique internal identifying number.

#### SOCIAL SECURITY NUMBERS WILL NOT BE OPEN FOR PUBLIC INSPECTION.

#### **\*THIS SHEET TO BE DETACHED BY DIVISION PERSONNEL AND MAINTAINED AS CONFIDENTIAL DOCUMENT**